Form PTO-1449

Applicant: Michael

Serial No.: Filing Date:

Michael T. Carley et al.

10/667,144

For:

September 19, 2003

Closure Device and Methods for Making and Using Them

# Att'y Docket No.: 16497.1.1.5

Confirmation No.: 7207

Art Unit: 3734

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# SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

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Examiner:	7	M B	Date Considered:	10 -10-07

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner <u>Initial</u> *	Document Number	<u>Date</u>	<u>Name</u>
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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
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Michael T. Carley et al.

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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
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Date Considered:

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	A190	EP 0 386 361	09/12/1990	EPO
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Database WPI; Section PQ, Week 200120; Derwent Publications Ltd., London GB; Class P31, AN 2001-203165; XP002199926 & ZA 200 100 528 A (ANTHONY T), 28 February 2001 (2001-02-28) abstract.

Examiner:

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While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Date Considered: 11-13-07

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For:

CLOSURE DEVICE AND METHODS FOR MAKING AND USING THEM

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Examiner <u>Initial</u> *		Document <u>Number</u>	<u>Date</u>	<u>Name</u>
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	2	5,243,857	02/28/1995	Velez
	3	5,246,156	09/21/1993	Rothfuss et al.
	4	5,392,978	02/28/1995	Valez et al.
	5	5,413,584	05/09/1995	Schulze
	6	5,423,857	06/13/1995	Rosenman et al.
	7	5,676,974	10/14/1997	Valdes et al.
	8	5,810,776	09/22/1998	Bacich et al.
	9	5,904,697	05/18/1999	Gifford, III et al.
	10	5,993,468	11/30/1999	Rygaard
	11	6,030,364	02/29/2000	Durgin et al.
	12	6,428,548	08/06/2002	Durgin et al.

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Date Considered:

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant:

Michael T. Carley, et al.

Serial No.:

10/667,144

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September 19, 2003

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Examiner <u>Initial</u> *		Document <u>Number</u>	<u>Date</u>	<u>Name</u>
	<u>VB</u> 13	6,645,205	11/11/2003	Ginn
	14	6,669,714	12/30/2003	Coleman et al.
·	15	6,749,621	06/15/2004	Pantages et al.
	16	6,942,674	09/13/2005	Belef et al.
	17	7,001,398	02/21/2006	Carley et al.
	18	7,108,709	09/19/2006	Cummins
	19	7,111,768	09/26/2006	Cummins et al.
	20	7,163,551	01/16/2007	Anthony et al.
	21	7,211,101	05/01/2007	Carley et al
	22	2001/0031972	10/18/2001	Robertson et al.
	23	2002/0133193	09/19/2002	Ginn et al.
	24	2003/0195561	10/16/2003	Carley et al.
	25	2004/0009289	01/15/2004	Carley et al.
	26	2004/0073255	04/15/2004	Ginn et al.

Examiner: L M B

Date Considered: 10-10-07

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner Initial*		Document  Number	<u>Date</u>	Name
	<u>B_27</u>	2004/0153122	. 08/05/2004	Palermo
	28	2004/0153123	08/05/2004	Palermo et al.
	29	2004/0167570	08/26/2004	Pantages
	30	2005/0119695	06/02/2005	Carley et al.
	31	2005/0216057	09/29/2005	Coleman et al.
	32	2005/0267530	12/01/2005	Cummins et al.
	33	2005/0273136	12/08/2005	Belef et al.
	34	2005/0274768	12/15/2005	Cummins et al.
	35	2006/0020270	01/26/2006	Jabba et al.
	36	2006/0135989	06/22/2006	Carley et al.
	37	2006/0144479	07/06/2006	Carley et al.
	38	2006/0167484	07/27/2006	Carley et al.
	39	2006/0190014	08/24/2006	Ginn et al.
•	40	2006/0190037	08/24/2006	Carley et al.

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Examiner <u>Initial</u> *		Document <u>Number</u>	<u>Date</u>	Name
	OB 41	2006/0190038	08/24/2006	Carley et al.
	42	2006/0195123	08/31/2006	Ginn et al.
	43	2006/0195124	08/31/2006	Ginn et al.
	44	2006/0287674	12/21/2006	Ginn et al.
	45	2007/0010854	01/11/2007	Cummins et al.

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Examiner <u>Initial</u> *	. IA	Document <u>Number</u>	Publication/Filed Date
	46	09/866,551	05/25/2001
	47	11/396,141	03/31/2006
	48	11/675,462	02/15/2007
	49	11/744,089	05/03/2007
	no	copy - not	Considered

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Date Considered:

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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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# Foreign Patent Documents

Examiner <u>Initial</u> *		Document <u>Number</u>	Publication Date	Country or Patent Office
	50	CA 2 339 060	02/17/2000	Canada
	51	NL 9302140	07/03/1995	Netherlands
52	S 2000/0722	10/04/2001	Ireland	
	53	\$ 2000/0724	10/04/2001	Ireland
54 55 56 57	S 200 V0547	07/10/2002	Ireland	
	S 2001/0748	08/28/2002	Ireland	
	S 2001/0749	08/28/2002	Ireland	
	57	S 2001/0815	07/10/2002	Ireland
	58	S 2002/0451	07/03/2003	Ireland
	59	S 2002/0452	12/30/2002	Ireland
60	S 2002/0552	07/18/2003	Ireland	
	S 2002/0664	02/19/2003	Ireland	
	62	S 2002/0665	02/19/2003	Ireland
		No Ne above 1	considered - no copy	1
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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner <u>Initial</u> *		Document Number	Publication Date	Country or Patent Office
	63	S 2003/0424	12/10/2003	Ireland
	64	S 2003/0490	01/14/2004	Ireland
	65	\$ 2004/0368	11/30/2005	Ireland
	66	S 2005/0342	11/30/2005	Ireland
	67	WO 98/06448	02/19/1998	PCT
·	68	WO 02/19915	03/14/2002	PCT
	69	WO 02/19920	03/14/2002	PCT
	70	WO 02/19922	03/14/2002	PCT
	71	WO 02/45594	06/13/2002	PCT
	72	WO 02/98302	12/12/2002	PCT
	73	WO 03/101310	12/11/2003	PCT
•	74	WO 03/13363	02/20/2003	PCT
	75	WO 03/13364	02/20/2003	PCT
	76	WO 03/47434	06/12/2003	PCT
		none abo	ove considered -	NO COPY

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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner Initial* (		Document <u>Number</u>	Publication Date	Country or Patent Office
	77	WO 03/71955	09/04/2003	PCT
	78	WO 03/71956	09/04/2003	PCT
	79	WO 03/71957	01/15/2004	PCT
	80	WO 04/04578	01/15/2004	PCT
	81	WO 04/60169	07/22/2004	PCT
	82	WO 04/69054	08/19/2004	PCT
	83	WO 05/115521	12/08/2005	PCT
	84	WO 05/82256	09/09/2005	PCT
	85	WO 06/83889	08/10/2006	PCT
		none above	considered - n	o copy

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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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# Issue Notifications, Notices of Allowance, and Office Actions

Examiner <u>Initial</u> *		Patent or Serial <a href="Number">Number</a>	<u>Document</u>	Mail Date
	86	2002/0072768	Office Action	08/27/2004
	87	2002/0072768	Office Action	02/23/2005
	88	2002/0072768	Office Action	04/11/2005
	89	2002/0072768	Office Action	07/27/2005
	90	2002/0072768	Office Action	03/06/2006
	91	2002/0072768	Office Action	05/24/2006
	92	2002/0072768	Office Action	10/26/2006
	93	2002/0072768	Office Action	04/19/2007
	94	2002/0133193	Office Action	11/04/2004
	95	2002/0133193	Office Action	05/04/2005
	96	2002/0133193	Office Action	10/18/2005
	97	2002/0133193	Notice of Allowance	04/18/2007
	98	2002/0133193	Notice of Allowance	09/27/2007

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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner <u>Initial</u> *	,	Patent or Serial <u>Number</u>	Document	Mail Date
	99	2003/0078598	Office Action	02/09/2005
•	100	2003/0078598	Office Action	05/26/2005
	101	2003/0078598	Office Action	10/04/2005
	102	2003/0078598	Notice of Allowance	05/10/2006
	103	2093/0078598	Notice of Allowance	07/02/2007
	104	2003/0193561	Office Action	06/10/2004
	105	2003/0195561	Notice of Allowance	09/21/2004
	106	2003/0195561	Office Action	01/03/2006
	107	2003/0195561	Issue Notification	02/15/2006
	108	2003/0195561	Office Action	05/16/2006
	109	2003/0195561	Notice of Allowance	12/28/2006
	110	2003/0195561	Notice of Allowance	07/10/2007
	111	2003/0195561	Notice of Allowance	08/02/2007
	112	2004/0153123	Office Action	09/22/2006

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Date Considered:

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner <u>Initial</u> *		Patent or Serial <u>Number</u>	<u>Document</u>	Mail Date
	113	2004/0153123	Office Action	01/31/2007
	114	2004/0153123	Office Action	09/18/2007
	115	2004/0153122	Office Action	11/30/2005
	116	2004/0153122	Office Action	08/23/2006
	117	2004/0153122	Office Action	02/13/2007
	118	2004/0153122	Office Action	09/12/2007
	119	2004/0073255	Office Action	09/15/2006
	120	2004/0073255	Office Action	04/18/2007
	121	2004/0009289	Office Action	06/30/2006
	122	2004/0009289	Office Action	10/20/2006
	123	2004/0009289	Office Action	05/29/2007
	124	2005/0274768	Office Action	10/19/2006
	125	2005/0274768	Office Action	08/10/2007
	126	2005/0216057	Office Action	02/06/2007

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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner <u>Initial</u> *		Patent or Serial Number	<u>Document</u>	Mail Date
ŕ	127	2005/0216057	Office Action	05/30/2007
	128	2006/0135989	Office Action	11/30/2006
	129	2006/0135989	Office Action	09/05/2007
	130	2006/0195124	Office Action	06/06/2007
	131	2006/0195123	Office Action	05/14/2007
	132	2005/0234508	Office Action	08/13/2007
	133	2004/0167570	Office Action	10/30/2006
	134	2004/0167570	Office Action	04/17/2007
	135	2004/0167570	Office Action	08/31/2007
	136	6,197,042	Notice of Allowance	11/06/2000
	137	6,197,042	Issue Notification	02/15/2001
	138	6,277,140	Office Action	03/26/2001
	139	6,277,140	Notice of Allowance	06/04/2001
	140	6,277,140	Issue Notification	08/06/2001

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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner		Patent or Serial	•	
<u>Initial</u> *		<u>Number</u>	<u>Document</u>	Mail Date
`	141	6,391,048	Notice of Allowance	03/26/2001
	142	6,391,048	Office Action	09/05/2001
	143	6,391,048	Notice of Allowance	02/11/2002
	144	6,391,048	. Issue Notification	05/03/2002
	145	6,461,364	Notice of Allowance	05/06/2002
	146	6,461,364	Issue Notification	09/19/2002
	147	6,582,452	Notice of Allowance	01/31/2003
·	148	6,582,452	Issue Notification	06/05/2003
	149	6,616,686	Office Action	12/17/2002
	150	6,616,686	Notice of Allowance	04/21/2003
	151	6,616,686	Issue Notification	08/21/2003
	152	6,623,510	Notice of Allowance	04/11/2003
	153	6,623,510	Office Action	06/09/2003
	154	6,623,510	Issue Notification	09/04/2003

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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner <u>Initial</u> *		Patent or Serial <a href="Mailto:Number">Number</a>	Document	Mail Date
	155	6,632,238	Office Action	02/26/2003
	156	6,632,238	Notice of Allowance	06/16/2003
	157	6,632,238	Issue Notification	09/25/2003
	158	6,669,714	Office Action	03/04/2003
	159	6,669,714	Notice of Allowance	07/28/2003
	160	6,669,714	Issue Notification	12/11/2003
	161	6,695,867	Notice of Allowance	09/29/2003
	162	6,695,867	Issue Notification	02/05/2004
	163	6,719,777	Office Action	02/20/1987
	164	6,719,777	Notice of Allowance	07/24/1987
	165	6,719,777	Issue Notification	03/25/2004
	166	6,749,621	Notice of Allowance	02/09/2004
	167	6,749,621	Office Action	04/13/2004
	168	6,749,621	Issue Notification	05/27/2004

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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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10/667,144

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Examiner <u>Initial</u> *		Patent or Serial <a href="Mumber">Number</a>	<u>Document</u>	Mail Date
	169	6,780,197	Office Action	09/11/2003
	170	6,780,197	Office Action	02/09/2004
	171	6,780,197	Notice of Allowance	03/17/2004
	172	6,780,197	Issue Notification	08/05/2004
	173	6,926,731	Office Action	11/16/2004
	174	6,926,731	Notice of Allowance	04/06/2005
	175	6,926,731	Issue Notification	07/20/2005
,	176	6,942,674	Office Action	09/29/2004
	177	6,942,674	Notice of Allowance	05/13/2005
	178	6,942,674	Issue Notification	08/24/2005
	179	7,001,398	Office Action	03/22/2005
	180	7,001,398	Notice of Allowance	07/06/2005
	181	7,001,398	Notice of Allowance	10/05/2005
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Examiner <u>Initial</u> *		Patent or Serial <a href="Mumber">Number</a>	<u>Document</u>	Mail Date
	183	7,008,435	Office Action	04/20/2005
	184	7,008,435	Office Action	08/10/2005
	185	7,008,435	Notice of Allowance	10/18/2005
	186	7,008,435	Issue Notification	02/15/2006
	187	7,108,709	Office Action	07/27/2004
	188	7,108,709	Office Action	12/17/2004
	189	7,108,709	Notice of Allowance	03/09/2005
	190	7,108,709	Office Action	08/11/2006
	191	7,108,709	Issue Notification	08/30/2006
	192	7,111,768	Office Action	02/23/2006
	193	7,111,768	Notice of Allowance	05/31/2006
	194	7,111,768	Issue Notification	09/06/2006
	195	7,163,551	Office Action	01/10/2006

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Notice of Allowance

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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner Initial*		Patent or Serial <u>Number</u>	<u>Document</u>	<u>Mail Date</u>
	197	7,163,551	Issue Notification	12/27/2006
		7,211,101	Office Action	08/10/2005
	199	7,211,101	Office Action	12/19/2005
	200	7,211,101	Office Action	04/21/2006
	201	7,211,101	Notice of Allowance	12/27/2006
	202	7,211,101	Issue Notification	04/11/2007

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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Filing Date: September 19, 2003

Att y Docket No.: 10497.1.1.3

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For: CLOSURE DEVICE AND METHODS FOR MAKING AND USING THEM

### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

Examiner: MB

Date Considered: 1-13 + 07

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